Introduction

Pursuant to Supreme Court Rule (SCR) 21.03(6)(n) and 21.10(2)(e), the Office of Lawyer Regulation and Board of Administrative Oversight file this fiscal year 2018-2019 report on the lawyer regulation system.

Composition of the Lawyer Regulation System

“The lawyer regulation system is established to carry out the Supreme Court’s constitutional responsibility to supervise the practice of law and protect the public from misconduct by persons practicing law in Wisconsin.” SCR Chapter 21, Preamble. The composition and organization of the lawyer regulation system is depicted in Appendix 1. The persons currently serving in these organizations are identified in Appendix 2. Following is a description of the components.

Supreme Court

The Supreme Court supervises the lawyer regulation system, determines attorney misconduct and medical incapacity, and imposes discipline or directs other appropriate action in proceedings filed with the Court.

Office of Lawyer Regulation

The Office of Lawyer Regulation (OLR) consists of the Director, investigative and support staff, litigation counsel, and retained counsel. The office has the following duties.

- To receive and to respond to inquiries and grievances relating to attorneys.
- To investigate allegations of attorney misconduct or medical incapacity.
- To divert matters into an alternative to discipline program.
- To prosecute misconduct or medical incapacity proceedings.
- To investigate license reinstatement petitions.

District Committees

District Committees exist in each of the sixteen state bar districts, and consist of lawyers and public members appointed by the Supreme Court. District Committees perform the following duties under the supervision of the Director.

- To educate the bar and the public about the legal profession and ethical practice of law.
- To refer to the Director possible misconduct or medical incapacity matters.
- To assist in the investigation of possible misconduct or medical incapacity.
- To recommend to the Director the appropriate disposition of matters it investigated.
• To monitor an attorney’s participation in an alternatives to discipline program or an attorney’s compliance with conditions on practice.
• To assist in resolving minor disputes between an attorney and a client.

*Preliminary Review Committee*

The Preliminary Review Committee consists of fourteen members, nine lawyers and five public members appointed by the Court. The Committee is comprised of two seven-member panels, each having at least four lawyers and at least two public members. The panels have the following duties.

• To review the results of OLR and District Committee investigations and to determine whether there is cause to proceed in the matter.
• To review, upon request by a grievant, decisions by the Director to dismiss a grievance after investigation.
• To confer with the Board of Administrative Oversight and to suggest improvements in the operation of the Committee and its panels.

*Board of Administrative Oversight*

The Board of Administrative Oversight consists of twelve members, eight lawyers and four public members appointed by the Court. The Board has the following duties.

• To monitor the fairness, productivity, effectiveness, and efficiency of the system.
• To monitor the implementation of new procedures.
• To assess public and bar perceptions of the integrity of the system.
• To report its findings to the Supreme Court.
• To review the operation of the system with the Court, and to file an annual report.
• To propose substantive and procedural rules.
• To inform and educate the public and bar about the system.
• To propose an annual budget.

*Special Investigative Panel*

The Special Investigative Panel is composed of lawyers appointed by the Supreme Court who are not currently participating in the lawyer regulation system. The Director refers allegations of misconduct against an attorney currently participating in the system to a special investigator. In a referred matter, the special investigator performs the functions that the Director would normally perform, which may include evaluating, investigating, dismissing, diverting, or prosecuting the matter.
Special Preliminary Review Panel

The Special Preliminary Review Panel is composed of four lawyers and three public members appointed by the Supreme Court. In matters involving allegations against current participants in the lawyer regulation system, the panel reviews the special investigator’s decision to close a matter without investigation or dismiss a matter after investigation, and reviews an investigative report to determine whether there is cause to proceed.

Referees

Referees are attorneys or reserve judges appointed by the Supreme Court to perform the following duties.

- To conduct hearings in proceedings alleging misconduct or medical incapacity.
- To conduct hearings on petitions for license reinstatement.
- To review consensual public or private reprimands submitted by the Director.

Overview of the Lawyer Regulation System

The Wisconsin Supreme Court created the lawyer regulation system to carry out the court’s constitutional responsibility to supervise the practice of law and protect the public from misconduct by persons practicing law in Wisconsin. The court has adopted standards of professional conduct for attorneys and confers the privilege to practice law on an attorney on the condition of compliance with those standards. A failure to comply with the court’s standards may constitute misconduct or may be evidence of a medical problem.

Initially, OLR staff screens all grievances. If the allegations made are not within OLR’s jurisdiction or are not substantiated, staff will close the file. If the grievant disagrees with the staff’s decision, the grievant may make a written request for the Director’s review of the closure. The Director’s decision is final. After preliminary evaluation, staff may also forward the matter to another agency; attempt to reconcile the matter between the grievant and attorney if it is a minor dispute; or refer the matter to the Director for diversion or investigation.

If the grievance sets forth sufficient information to support an allegation of a violation of Chapter 20 of the Supreme Court Rules, OLR staff may initiate an investigation. OLR staff will send a letter to the respondent enclosing the grievance and requesting a response within 20 days. In most instances, staff will forward the attorney’s response to the grievant for comments. OLR staff will obtain all information relevant to the allegations, and may assign the matter to a district investigative committee for this purpose, pursuant to SCR 22.04(1).

After the investigation is completed, the Director may dismiss the matter, divert the matter to an alternatives to discipline program, obtain respondent’s consent to a private or public reprimand, or present the matter to the Preliminary Review Committee for a determination of cause to proceed. When the Director dismisses a matter, the grievant has 30 days to request for review of the decision by the Preliminary Review Committee. The decision of the Preliminary Review Committee is final.
When the director presents a matter to the Preliminary Review Committee and the Committee determines that the Director has established cause to proceed, the Director may file a complaint alleging misconduct with the Supreme Court. If the Director files a complaint, an answer is required within 20 days of service of the complaint. Upon proof of service, the Supreme Court appoints a referee to hear the matter pursuant to SCR 22.13(3). The referee holds a scheduling conference to define the issues and to determine the extent of discovery. The referee then presides at a public hearing which is conducted as a trial of a civil action to the court. OLR must prove misconduct or medical incapacity by clear, satisfactory and convincing evidence.

Within 30 days after the conclusion of the hearing, the referee will submit a report to the Supreme Court, including findings of fact, conclusions of law, and a recommendation of dismissal or discipline. OLR or a respondent may file an appeal of the referee's report within 20 days after the report is filed. If no appeal is timely filed, the Supreme Court reviews the referee's report and determines appropriate discipline in cases of misconduct and appropriate action in cases of medical incapacity. The Court may, on its own motion, order the parties to file briefs. The Supreme Court's final dispositions of disciplinary and medical incapacity proceedings are published in the Wisconsin Reports and in The Wisconsin Lawyer.

The Year in Review

Significant Lawyer Regulation System Developments

The number of pending matters is down significantly this year. At the end of the fiscal year, there were a total of 465 matters (including 153 formal investigations), down from 551 (including 203 formal investigations) at the end of last year.

Processing times improved overall, but increased slightly for matters under investigation and in litigation. Overall average processing time decreased from 129 days last year to 121 days this year. The average processing time for intake matters decreased from 49 days last year to 45 this year. The average processing time for formal investigations increased from 263 days last year to 285 this year. The average processing time for complaints filed with the court increased from 538 days last year to 573 days this year. The percentage of matters completed within 90 days increased from 77% last year to 80% this year. The percentage of matters completed within 180 days increased from 86% last year to 88% this year.

There was a decrease in the number of older matters during the past year. The number of matters over a year old decreased from 152 last year to 138 this year.

The Preliminary Review Committee elected Attorney Nora Platt of Milwaukee chairperson and Attorney Robert Asti of Cedarburg as vice chairperson. The Committee met to consider matters on September 14, 2018, December 14, 2018, March 1, 2019 and June 14, 2019.

The Committee considered whether cause to proceed existed in 105 matters, and reviewed the Director’s dismissals in 19 matters. The Board and Office of Lawyer Regulation appreciate the Committee’s exceptional service this past year. Committee meetings will continue quarterly.
The Board of Administrative Oversight elected Attorney Joseph M. Russell of Milwaukee chairperson and Attorney Timothy F. Nixon of Green Bay vice chairperson. The Board held meetings on September 7, 2018, December 7, 2018, March 1, 2019 and June 7, 2019. The Board continued the formal study of lawyer regulation experiences in grievances arising in criminal law and family law. Professors Leslie Levin and Susan Fortney will analyze data and report recommendations to the Board this fall. The Board also made recommendations for improving efficiency and fairness to the Court and the Court’s study committee.

The District Committees received no referrals this year, as the OLR staff was able to complete investigations and to reduce the number of pending investigations without the need for assistance from the District Committees.


The alternative to discipline program provides an effective way to improve an attorney’s ability to practice in accordance with high professional standards. Frequently, this is a more effective measure than professional discipline. The Court has authorized diversion to an alternative program in situations where the program will likely benefit the attorney, and where the attorney will not likely harm the public. Alternative programs may include mediation, fee arbitration, law office management assistance, evaluation and treatment for alcohol and other substance abuse, psychological evaluation and treatment, medical evaluation and treatment, monitoring of practice or trust account procedures, continuing legal education, ethics school, and the multi-state professional responsibility examination. During the fiscal year, 31 attorneys were diverted to alternative programs and 47 attorneys completed diversions.

The central intake program provides for the receipt of inquiries and grievances concerning attorney conduct, and for the preliminary evaluation of grievances prior to any formal investigation. Inquiries and grievances may be received by telephone; callers may use a toll free number to contact the Office of Lawyer Regulation. After the preliminary evaluation, the Central Intake staff may forward the matter to another appropriate agency, attempt to reconcile the matter if it is a minor dispute, close the matter if it does not present sufficient information to support an ethical allegation, or refer the matter for investigation or diversion to an alternative to discipline.

Central Intake received 1,660 inquiries and grievances. This is 100 fewer than the prior year. Of the matters evaluated in Central Intake this past year, approximately twelve percent (12%) were forwarded for formal investigation. Two percent (2%) involved the resolution of minor disputes. Eight percent (8%) involved matters withdrawn by the grievant or closed due to no subsequent contact from the grievant. One percent (1%) involved diversion programs. The remaining seventy-seven percent (77%) were closed for lack of sufficient information to suggest an allegation of potential ethical misconduct.
Trust Account Program

The Trust Account Program is responsible for the administration of Wisconsin’s overdraft notification rule, SCR 20:1.15(h), which requires attorneys to authorize their financial institutions to notify the Office of Lawyer Regulation of overdrafts on their trust accounts and fiduciary accounts. In addition, the Trust Account Program provides education and resources for lawyers and financial institutions regarding trust account regulations and the management of trust and fiduciary accounts.

OLR’s Intake staff screens all incoming reports of overdrafts on lawyer trust and fiduciary accounts. Overdrafts reported to OLR due to bank errors and those that meet other criteria for closure without a full investigation are handled by that department. Overdrafts requiring full investigation are handled by Grievance Investigators and the Trust Account Program Administrator.

OLR received 84 reports of overdrafts on trust and fiduciary accounts of Wisconsin lawyers during Fiscal Year 2019. During that same period, overdraft notifications resulted in the following dispositions:

<table>
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<td>Dismissal after Investigation/Advisory Letter</td>
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<td>Special Investigator – Dismissal</td>
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<tr>
<td>Closed without Investigation – Bank Errors</td>
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<tr>
<td>Closed without Investigation – Collection Account Exception With Advisory Letter</td>
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Overdraft investigations occasionally lead to the discovery of record-keeping deficiencies and other concerns that do not warrant the imposition of discipline. In such situations, an advisory letter is sent to the lawyer or law firm, explaining the concerns and providing information and guidance on the requirements of SCR 20:1.15 or another applicable rule. During Fiscal 2019, OLR sent 36 advisory letters, which included guidance on one or more of the following issues and record keeping requirements:

<table>
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<td>Availability of Funds for Disbursement</td>
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<td>Distinguish Checks</td>
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<td>Maintenance Account (for bank fees and surcharges)</td>
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<tr>
<td>Proper ID of Accounts &amp; Proper Check Format</td>
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</tr>
<tr>
<td>Running Balances – Registers</td>
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</table>
Travis Stieren, OLR’s Trust Account Program Administrator, presented half-day seminars on trust account management on September 28, 2018 and April 26, 2019. Attorney Diane Diel (Milwaukee) assisted OLR at these seminars, along with Laura Nelson, an Education Specialist/Auditor at the Wisconsin ACH Association. Attorney Diel spoke about the proper handling of legal fees and the alternative to holding unearned fees in trust. Ms. Nelson provided information about electronic banking in trust accounts. These seminars are open to lawyers and law office staff members, and are required in connection with trust account diversions.

For further information regarding the Trust Account Program go to: https://www.wicourts.gov/services/attorney/trust.htm.
Survey of Matters

Overall Processing

The pending caseload is 465 matters, 86 matters less than a year ago. The average processing time decreased from 129 days to 121 days. The percentage of matters resolved within 90 days increased from 77% last year to 80% this year. Those resolved in 180 days increased from 86% to 88%. The number of pending matters over a year old decreased this year, from 152 to 138.

Grievances

In an effort to inform the Supreme Court, the Bar, and the public of the source and nature of the grievances received and the areas of practice from which grievances arise, Appendices 8A – 8C break down by category the grievances received between July 1, 2018 and June 30, 2019. In describing the nature of the grievances, only the most serious allegation is reflected.

The allegations most commonly made in a grievance were lack of diligence by the lawyer entrusted with the legal matter (20.86%), lack of communication (13.15%), misrepresentation and dishonesty (9.81%) and improper advocacy (9.44%). See Appendix 8A. The two areas of practice that produced the largest number of grievances during the year were criminal law and family law (see Appendix 8B). It is important to point out that while clients file the majority of grievances, anyone can file a grievance. Appendix 8C illustrates the sources from which grievances were received during the fiscal year.

Discipline

In fiscal year 2018-2019, 34 attorneys received a public disciplinary sanction, including 1 revocation by consent, 1 revocation imposed by the Supreme court and 15 suspensions. There were 5 public reprimands imposed by the Supreme Court and 12 public reprimands issued by Supreme Court Referees. Additionally, the court temporarily suspended 10 attorneys, and dismissed 1 disciplinary complaint. At the end of the year, 98 formal disciplinary matters were pending in the Supreme Court, up from 89 last year. Appendix 9 shows the numbers and percentages of attorneys receiving public discipline since fiscal year 1983-1984. Appendix 10 shows the type of misconduct found in public discipline decisions. Appendix 11 shows the areas of practice in which discipline was found in public decisions.

A Referee has authority, under SCR 22.09(3), to issue private reprimands pursuant to an agreement between the Director and the attorney. Typically, a private reprimand is imposed for an isolated act of misconduct that caused relatively minor harm. The Director does not enter an agreement for a private reprimand if public disclosure of the attorney’s misconduct is necessary to protect the public. Private reprimands are retained permanently and are available as an aggravating factor on the issue of sanction if the attorney commits subsequent misconduct. As a means of educating the Bar, summaries of private reprimands, without any reference to or identification of the attorney involved, are printed every six months in the Wisconsin Lawyer magazine.
During this fiscal year, 12 attorneys received private reprimands. Thirty One (31) attorneys entered the alternatives to discipline program and Forty Seven (47) attorneys completed an alternative program.

Other dispositions included:

- 1544 inquiries that did not warrant investigation. These matters were closed after the initial intake evaluation because there was insufficient information to support an allegation of misconduct or were disputes that were resolved without investigation.

- 19 dismissals after investigation in cases where there was insufficient evidence of a violation.

- 17 dismissals with an advisory letter. This disposition occurs in cases where the evidence is insufficient to prove a violation, but where practical advice would be helpful to an attorney.

- 20 closed pending petition for reinstatement.
### SUMMARY OF PUBLIC DISCIPLINE

**Office of Lawyer Regulation**  
**July 1, 2018 thru June 30, 2019**

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<th>Attorney</th>
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</table>
Reinstatements

During fiscal year 2018 – 2019, the Court completed action on 34 reinstatement petitions, 29 administrative and 5 disciplinary. Following is a summary of reinstatements.

**SUMMARY OF REINSTATEMENTS**

*Office of Lawyer Regulation*

*July 1, 2018 thru June 30, 2019*

<table>
<thead>
<tr>
<th>Attorney</th>
<th>Location</th>
<th>Received</th>
<th>Decided</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Administrative</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Almeida, David S.</td>
<td>Chicago, Il</td>
<td>10/18/18</td>
<td>5/14/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Byers, Stephen M.</td>
<td>Rocky Hill, CT</td>
<td>1/16/19</td>
<td>6/11/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Chandler, Scott R.</td>
<td>Joliet, IL</td>
<td>5/14/18</td>
<td>10/31/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Dallmann, Douglas A.</td>
<td>Madison, WI</td>
<td>11/8/18</td>
<td>3/1/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Diamon, David P.</td>
<td>Kaneohe, HI</td>
<td>6/1/18</td>
<td>2/1/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Emerich, Eric L.</td>
<td>Ledyard, CT</td>
<td>6/22/18</td>
<td>12/27/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Fuge, Kristine N.</td>
<td>St. Croix Falls, WI</td>
<td>2/15/18</td>
<td>7/3/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Hawkins, Donald B.</td>
<td>Denver, CO</td>
<td>4/19/18</td>
<td>5/14/19</td>
<td>Dismissed</td>
</tr>
<tr>
<td>Held, Eliot M.</td>
<td>Sun Prairie, WI</td>
<td>10/29/18</td>
<td>1/22/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Henderson, Barbara L.</td>
<td>Milwaukee, WI</td>
<td>2/6/18</td>
<td>7/16/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Hlavac, William K.</td>
<td>Stevens Point, WI</td>
<td>6/1/18</td>
<td>1/25/19</td>
<td>Dismissed</td>
</tr>
<tr>
<td>Klingele, Cecelia M.</td>
<td>Madison, WI</td>
<td>8/1/18</td>
<td>1/7/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Knutson, Mark L.</td>
<td>Duluth, MN</td>
<td>2/14/18</td>
<td>7/30/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Kugler, Robert T.</td>
<td>Minneapolis, MN</td>
<td>3/15/19</td>
<td>6/11/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Lough, Amanda R.</td>
<td>Milwaukee, WI</td>
<td>5/25/18</td>
<td>11/28/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Molina, Rocio</td>
<td>Washington, D.C.</td>
<td>9/6/18</td>
<td>1/17/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Naylor, Beth S.</td>
<td>Cincinnati, OH</td>
<td>6/15/18</td>
<td>10/31/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Rowe, Lisa G.</td>
<td>Sheboygan, WI</td>
<td>8/3/18</td>
<td>12/10/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Santeler, Joseph R.</td>
<td>Milwaukee, WI</td>
<td>8/13/18</td>
<td>8/13/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Serebin, Lisa S.</td>
<td>San Francisco, CA</td>
<td>11/20/18</td>
<td>5/1/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Slattery, Rose M.</td>
<td>Lodi, WI</td>
<td>1/18/19</td>
<td>5/16/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Smith, Tracy L.</td>
<td>Montclair, NJ</td>
<td>8/7/18</td>
<td>1/24/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Stanley, William H., III</td>
<td>Madison, WI</td>
<td>1/29/18</td>
<td>9/19/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Stone, Justin B.</td>
<td>Bronx, NY</td>
<td>7/25/18</td>
<td>6/4/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Taylor, Cynthia</td>
<td>Houston, TX</td>
<td>7/17/18</td>
<td>11/13/18</td>
<td>Denied</td>
</tr>
<tr>
<td>Torres, Chelsea A.</td>
<td>Madison, WI</td>
<td>8/16/18</td>
<td>12/28/18</td>
<td>Granted</td>
</tr>
<tr>
<td>Vargo-Wagner, Suzanne</td>
<td>Los Angeles, CA</td>
<td>3/16/18</td>
<td>2/1/19</td>
<td>Dismissed</td>
</tr>
<tr>
<td>Walker, Michael J.</td>
<td>Washington, D.C.</td>
<td>12/28/17</td>
<td>4/9/19</td>
<td>Denied</td>
</tr>
<tr>
<td>Walker, Charles T.</td>
<td>Davis, CA</td>
<td>7/27/18</td>
<td>1/8/19</td>
<td>Granted</td>
</tr>
<tr>
<td>Disciplinary</td>
<td>Location</td>
<td>Start Date</td>
<td>End Date</td>
<td>Status</td>
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<td>---------------------</td>
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<tr>
<td>Koenig, John G.</td>
<td>Janesville, WI</td>
<td>9/6/18</td>
<td>3/21/19</td>
<td>Granted</td>
</tr>
<tr>
<td>MacLean, Matthew S.</td>
<td>Hartland, WI</td>
<td>5/11/18</td>
<td>5/30/19</td>
<td>Dismissed</td>
</tr>
<tr>
<td>Malloy, Robert T.</td>
<td>S. Milwaukee, WI</td>
<td>7/30/18</td>
<td>2/26/19</td>
<td>Granted w/cnd</td>
</tr>
<tr>
<td>Mularski, Brian P.</td>
<td>Mequon, WI</td>
<td>10/31/17</td>
<td>10/17/18</td>
<td>Denied</td>
</tr>
<tr>
<td>Templin, Thor H.</td>
<td>Milwaukee, WI</td>
<td>4/23/19</td>
<td>6/3/19</td>
<td>Dismissed</td>
</tr>
</tbody>
</table>
Finances

The legal profession is unique in assuming the costs for policing itself. An assessment on every member of the State Bar of Wisconsin pays the costs and expenses of the lawyer regulation system, including all the costs and expenses of the Office of Lawyer Regulation, District Committees, Preliminary Review Committee, and Board of Administrative Oversight. To help offset the costs, the Office of Lawyer Regulation collects costs from attorneys disciplined in formal court proceedings, and fees on petitions for reinstatement and pro hac vice applications. Collections for fiscal year 2018-2019 were approximately $155,500.

The budget for fiscal year 2019-2020 is $3,291,200, down from $3,443,300 last year. The assessment remains $155.00. The assessment is in line with disciplinary assessments for neighboring jurisdictions: $230.00 for Illinois, $200.00 for Iowa, $105.00 for Michigan, and $122.00 for Minnesota. The assessment for Colorado, which has a similar program and lawyer population, was most recently reported as $185.00.
APPENDIX 1

ORGANIZATIONAL CHART OF THE LAWYER REGULATION SYSTEM

SUPREME COURT

PRELIMINARY REVIEW COMMITTEE
BOARD OF ADMINISTRATIVE OVERSIGHT
OFFICE OF LAWYER REGULATION
SPECIAL INVESTIGATIVE PANEL
SPECIAL PRELIMINARY REVIEW PANEL
REFEREES

CENTRAL INTAKE
FORMAL INVESTIGATIONS
TRUST ACCOUNT PROGRAM
LITIGATION
DISTRICT COMMITTEES
APPENDIX 2

COMPOSITION OF THE LAWYER REGULATION SYSTEM

WISCONSIN SUPREME COURT

Chief Justice Patience D. Roggensack
Justice Shirley S. Abrahamson
Justice Ann Walsh Bradley
Justice Annette Kingsland Ziegler
Justice Rebecca G. Bradley
Justice Daniel Kelly
Justice Rebecca Frank Dallet

OFFICE OF LAWYER REGULATION

Keith L. Sellen, Director

William Weigel, Litigation Counsel
Jonathan E. Hendrix, Assistant Litigation Counsel
John Payette, Assistant Litigation Counsel
Kim Kluck, Assistant Litigation Counsel
Thomas J. Laitsch, Assistant Litigation Counsel

John K. O’Connell, Deputy Director-Investigations
Julie M. Spoke, Deputy Director-Intake
Travis Stieren, Trust Account Program Administrator
Heidi T. Johnson, Office Manager

Investigative Staff:

Kori Anderson
Anne Blood
Kenneth E. Broderick
Lorry Eldien
Kathryn Galarowicz
Cathe Hahn
Emily Kokie
Beth M. Kugler
Sarah Peterson
Timothy Poodiack
Melody Rader-Johnson
Cynthia Schally
Michael Shull
Karl Wyler
Jonathan Zeisser
Support Staff:

    Janet Byrne  
    Melissa Chicker  
    Mary McMillan  
    Annette Smith  
    Susan Stock  
    Ellen Teed  
    Deborah White

RETIRED COUNSEL

Susan Allen        Milwaukee  
Matthew F. Anich       Ashland  
William F. Bedker, Jr.       Watertown  
Gregg M. Herman       Milwaukee  
Robert G. Krohn       Janesville  
Ronald P. LeFever       Brookfield  
Matthew J. Price       Milwaukee  
James C. Reiher       Waukesha  
Pauw W. Schwarzenbart       Madison  
Gregory P. Seibold       Florence  
Brenda Sunby        Merrill  
Denis R. Vogel        Madison

DISTRICT COMMITTEE MEMBERS  
*Public Members

**District No. 1** (Jefferson, Kenosha, Walworth Counties)  
(Chairperson) **  
*Charles F. Taylor       Whitewater  
Christine Tomas       Lake Geneva  
Heather Iverson       Kenosha  
*Charles P. Frandson       Fort Atkinson  
Brian A. Schuk       Delavan  
Martin Harrison       Whitewater

**District No. 2** (Milwaukee County)  
(Chairperson) Christopher J. MacGillis       Wauwatosa  
Sarah Fry Bruch       Milwaukee  
*J. Dain Maddox       Wauwatosa  
Joseph Welcenbach       Milwaukee  
James Moczydlowski       South Milwaukee  
Cedric Cornwall       Wauwatosa  
Jacques C. Condon       Thiensville  
Thomas Whipp       Shorewood
*Ron Blazel        Milwaukee
*Keith J. Roberts        Greendale
Robert E. Nailen        Milwaukee
David B. Karp        Milwaukee
Krysta Kennedy        Wauwatosa
Joseph W. Seifert        Milwaukee
Paul Bargren        Milwaukee
*Kristina Ehnert        Oak Creek
*Bruce Harvey        Milwaukee
Richard Casper        Milwaukee
Roy E. Wagner        Milwaukee
*Barbara J. Miller        Milwaukee
Daniel Treuden        Oak Creek
Donal M. Demet        Milwaukee
David N. Iancu        Milwaukee
*Deedee Peterson        Milwaukee
Aaron Graff        Milwaukee
Geoffrey R. Morgan        Milwaukee
*Gregory Sustache        Cudahy
Eric D. Defort        Milwaukee
Bradley S. Foley        Milwaukee
Jordana E. Thomadsen        Milwaukee
Robert E. Driscoll        Wauwatosa
Nathan Imfeld        Milwaukee

**District No. 3** (Winnebago, Fond du Lac, Green Lake Counties)
(Chairperson) Elizabeth J. Nevitt        Neenah
Katherine Seifert        Menasha
*Paul M. Baker        Winneconne
Emily E. Parks        Fond du Lac
*Frances Garb        Oshkosh
Sara Micheletti        Appleton
David J. Schultz

**District No. 4** (Door, Kewaunee, Manitowoc, Calumet, Sheboygan Counties)
(Chairperson) **
*Suzanne J. Wegner        Plymouth
David Van de Water        Sheboygan
Catherine Q. Delahunt        Kohler
Andrew H. Morgan        Sheboygan
*Victoria Cerinich        Sturgeon Bay, WI
Kathleen McDaniel        Manitowoc
**District No. 5** (Crawford, LaCrosse, Richland, Vernon, Monroe, Trempealeau, Jackson, Clark, Buffalo, Pepin Counties)

(Chairperson) **
David Russell La Crosse  
*David Campbell La Crosse  
Bernardo Cueto La Crosse  
Mark Franklin Independence  
Daniel S. Diehn Black River Falls  
Christopher M. Zachar La Crosse  
Michael C. Ablan La Crosse

**District No. 6** (Waukesha County)

(Chairperson) **
Paul E. Schwemer Delafield  
*Gregory J. Ksicinski Waukesha  
Stephen C. Raymonds Menomonee Falls  
*Telemachos Agoudemos Big Bend  
Raymond Schrank Muskego  
Rod Rogahn Waukesha  
Ronald A. Troy Brookfield  
Matthew Fernholz Brookfield  
*Colleen Merrill Brown Brookfield

**District No. 7** (Wood, Portage, Waupaca, Waushara, Adams, Juneau, Marquette, Sauk, Columbia Counties)

(Chairperson)
*Susan G. Martin Portage  
Erik C. Johnson Montello  
*Deborah Berndt Clintonville  
Richard Fuller Stevens Point  
Brenda Yaskal Baraboo

**District No. 8** (Dunn, Eau Claire, Pierce, St. Croix Counties)

(Chairperson) Jenessa L. Stromberger Eau Claire  
*Theresa Johnson Hudson  
Mark N. Mathias Eau Claire  
*Kristen Ainsworth River Falls  
*Brad Nemec Somerset  
*Linda M. Jorgenson River Falls  
Brian F. Laule River Falls  
*Yvonne Larsen Hudson  
*Gerald Ries Hudson  
Kristina Bourget Eau Claire
**District No. 9** (Dane County)
(Chairperson) **
*Norman Jensen
Megan A. Senatori
*John Zerbe
Michele Perreault
Briane F. Pagel Jr.
*Barbara Mortensen
*Lynn M. Leazer
Jon Callaway
David S. Kowalski
Timothy Edwards
Jason J. Knutson
Roger Flores
Betty Eberle
*Christopher D. Washburn
Harvey Wendel
*Bruce Hutler
*Michael Kindschi
*Majid Sarmadi
*Kayla Johnson
Bennett Conard
Anthony Varda
Andrew C. Cook
Karl Dahlen
Gordon Davenport III
Jason Hanson
Cathy Lake
Kate Lloyd
S. Michael Murphy
John S. Skilton

**District No. 10** (Marinette, Menominee, Oconto, Outagamie, Shawano Counties)
(Chairperson) **
Laura C. Smythe
*Connie M. Seefeldt
*Terry Hilgenberg
Hon. Leonard Kachinsky
Hon. Catherine C. Stichmann
Charles M. Stertz
Brad A. Markvart
William McKinley
Aaron M. Krzewinski
Kyle Sargent
Bradley J. Schraven
*James Cotter

Madison
Madison
Madison
Madison
Verona
Madison
Madison
Madison
Madison
Blue Mounds
Madison
Madison
Madison
Madison
Madison
Madison
Madison
Madison
Madison
Fitchburg
Fitchburg
Madison
Madison
Madison
Oregon
Madison
Appleton
Coleman
Shawano
Neenah
Crivitz
Appleton
Appleton
Appleton
Oconto
Appleton
Peshtigo
Appleton
**District No. 11** (Douglas, Bayfield, Iron, Ashland, Sawyer, Washburn, Burnett, Price, Polk, Barron, Rusk, Taylor, Chippewa Counties)

(Chairperson) Amanda L. Wieckowic
*Elizabeth Esser
Annette M. Barna
John R. Carlson
*John Bennett
Parrish J. Jones
Timothy T. Sempf
Chetek
Spooner
Barron
Washburn
Superior
Amery

**District No. 12** (Green, Rock, Lafayette, Iowa, Grant Counties)

(Chairperson) **
James D. Wickhem
*Sheriff Robert D. Spooden
*Larry Wolf
*Lori R. Bienema
Kelly Mattingly
Peter Herman
Jane E. Bucher
Jody L. Cooper
Faun M. Phillipson
Teresa M. Arrowood
Janesville
Janesville
Janesville
Madison
Janesville
Janesville
New Glarus
Janesville

**District No. 13** (Dodge, Ozaukee, Washington Counties)

(Chairperson) **
Michael P. Herbrand
*Ramona Larson
*Claire Fowler
Julie A. Maule
Mark Langholz
Grafton
Mequon
Hubertus
West Bend
Cedarburg

**District No. 14** (Brown County)

(Chairperson) Edward J. Vopal
Ann C. Weiss
*Joseph Neidenbach
Robert Gagan
*Jim Marshall
Jodi Arnt Labs
Melissa Thiel Collar
Robert Janssen
*Wendy Scattergood
*Patrick J. Buckley
*David A. Steffens
Green Bay
Green Bay
Green Bay
Green Bay
Green Bay
Green Bay
De Pere
Green Bay
Green Bay
Seymour
District No. 15 (Racine County)
(Chairperson) Kristin Cafferty  
Patricia J. Hanson  
Timothy J. Pruitt  
Robert K. Weber  
*Frank Konieska  
Lincoln K. Murphy  
Jill A. Rakauski  
Racine

District No. 16 (Forest, Florence, Langlade, Lincoln, Marathon, Oneida, Vilas Counties)
(Chairperson) Peter M. Young  
Laura K. Fitzsimmons  
Lisa Brouillette  
*Monty Raskin  
James P. Lonsdorf  
Daniel R. Peters  
Brandon O’Connor  
W. Craig Olafsson  
Robert A. Pirkola  
Wausau

Burlington
Racine
Racine
Iron Mountain
PRELIMINARY REVIEW COMMITTEE

Attorney Nora M. Platt, Chairperson
Milwaukee, WI

Nora Platt is an Assistant General Counsel and Assistant Secretary in the Law Department of The Northwestern Mutual Life Insurance Company. Her legal work focuses on compliance with the laws and regulations governing sales practices in the securities and insurance industries, as well as issues related to elder financial exploitation, privacy, unfair competition, employment law, and general business law matters as they impact Northwestern Mutual’s field force. She’s Chair of the Law Department Diversity and Inclusion Committee. She was previously a partner in the Litigation and Product Liability practice groups at Quarles & Brady, LLP. Nora earned her J.D. from Marquette University Law School in 1992, and her B.S. in English/Secondary Education from the University of Wisconsin – Madison in 1986. She taught high school before attending law school. Nora is a member of the Board of Trustees of the Legal Aid Society of Milwaukee. She helped establish a pro bono program for the Northwestern Mutual Law Department. She lives in Whitefish Bay with her husband, Rob. They have two daughters.

Attorney Robert J. Asti, Vice Chairperson
Cedarburg, WI

After practicing in Milwaukee, Attorney Robert J. Asti joined the Cedarburg Wisconsin law firm of Levy & Levy S.C. in 1983, where he focuses primarily on litigation. He has served as a member of the State Bar of Wisconsin’s Professionalism Committee (2011 to 2013), as a member for two terms, of the State Bar of Wisconsin’s Board of Governors (2005 to 2007 and 2007 to 2009), and as a member of the State Bar of Wisconsin’s Board of Bar Examiners Review Committee (1997 to 2011) and past Chair (2004 to 2011). He has also served as a member of the State Bar of Wisconsin’s Alternative Dispute Resolution Section Board of Directors (1996 to 2003) and as a past Secretary. He is admitted to practice before all Wisconsin State Courts, the Federal Courts for the Eastern and Western Districts of Wisconsin, the 7th Circuit U.S. Court of Appeals, and the U.S. Supreme Court. Attorney Asti is a member of the State Bar of Wisconsin and the Ozaukee County Bar Association (past president). Attorney Asti received a B.B.A. from the University of Wisconsin-Milwaukee, and a M.B.A. and a J.D. from Marquette University.

Attorney Michael F. Bartzen
Sussex, WI

Mike Bartzen is the Director of Contracts for Derco Aerospace, Inc., a wholly-owned subsidiary of Lockheed Martin, where he has been employed since 2000. He is responsible for leading a team of contracts professionals that advise the business on all matters related to its contractual relationships, including contract drafting and negotiation; his own work focuses on relationships with international customers. He has also served as the Ethics Officer since 2009. Mike earned his J.D. from Marquette University Law School in 2008, his M.A. in International Political Economy from the University of Kent at Canterbury (UK) in 1998, and his B.A. in International Relations from the University of Wisconsin – Milwaukee in 1995. Mike lives in Sussex with his wife Christie, where they are raising two sons and a menagerie of animals. Mike is a Village Trustee in Sussex and a Cub Scout leader.
**Commissioner Barry J. Boline**  
**Port Washington, WI**

Barry J. Boline has served as the Circuit Court Commissioner for Ozaukee County since his appointment in 2012. His responsibilities are varied and include presiding over pre- and post-judgment family matters, state and county traffic, small claims court, probate and guardianships, and some domestic violence and criminal matters. He also serves as the Director of Family Court Services for Ozaukee County. Since having become a commissioner, Barry has served as President of both the Wisconsin Family Court Commissioners Association and the Judicial Court Commissioners Association of Wisconsin, and is a frequent lecturer on family law issues throughout the state of Wisconsin. Barry earned his J.D. from Drake University Law School in 1994, and his B.A. in Philosophy from Valparaiso University in 1991. After passing the Iowa Bar Examination in 1994, Barry practiced law in Iowa until 1999, when he and his family relocated to Wisconsin. Barry is an active member of the Lions Club, and served as the Chairperson of the governing council of the Lions of Wisconsin. He and his wife, Lisa, have two teenaged children.

**Mr. Michael L. “Gunner” Furgal**  
**Monroe, WI**

Chief Warrant Officer – 3 Michael L Furgal enlisted in the US Marine Corps in 1959 and retired in 1981. He served in the 1st, 2nd and 3rd Marine Divisions; 3rd Marine Aircraft Wing; Recruiting Station, Louisville KY; Development Center, Quantico, VA; and the Defense Language Institute, Monterey, CA. He served in Vietnam as a member of the 9th Interrogator-Translator Team.

He joined the Veterans of Foreign Wars in 1969 at Post 9732 in Okinawa, Japan. He maintains his Gold Legacy Life Membership at Post 2312 in Monroe, WI. Mr. Furgal has served the VFW in many leadership positions, including Wisconsin State Commander in 1998-1999. He has also served on numerous National Committees including National Veterans Service and National Veterans Employment Committees. He is currently Chairman of the State Legislative Committee.

Mr. Furgal holds an Associate degree in Political Science from Palomar College, a Bachelor degree in Social Science from Chapman University, and a Masters degree in Education from Pepperdine University. He worked as a Disabled Veterans Outreach Program Specialist with Wisconsin’s Job Service and as Adjutant (CEO)/ Quartermaster (CFO) of the VFW of Wisconsin and is now retired.

Mr. Furgal has been a member of the Green County Board of Supervisors for the past 29 years and currently serves on the Human Service Board; Library Board; Resolutions, Legislative and Judicial Committee; Aging and Disability Advisory Committee; and as Chair of the Veterans Services Committee.
He is a member of the South Central Library System Board of Trustees; South Central Library System Foundation Board; Southwestern Wisconsin Community Action Program Board of Director; and Wisconsin Counties Mutual Insurance Company Board of Directors.

Mr. Furgal is the Veterans of Foreign Wars representative on the Council of Veterans Programs of the Wisconsin Department of Veterans Affairs.

He and his wife, Marian, have three children and five grandchildren; they reside in Monroe, WI.

Attorney Lance Grady
Waukesha, WI

Lance S. Grady is one of the founding members of Grady, Hayes & Neary, LLC, a Waukesha law firm specializing in civil trial litigation. He obtained his Juris Doctorate from Marquette University Law School in 1985. Annually, since 2014, he has been nominated by his peers to the Wisconsin Super Lawyers list in the area of insurance coverage. In 2004 he was the recipient of the Wisconsin Law Journal’s Leaders in the Law Award. His experience with the Office of Lawyer Regulation dates back to 2001 when he was appointed to serve as a referee. In 2004, he was then appointed to serve as an investigator on District Committee 6. In 2009 he was appointed to chair the committee. In 2014 he was then appointed to the Preliminary Review Committee. In December 2017, after 25 years of community service, he retired as a lieutenant and Emergency Medical Technician-Intermediate 99 from the Town of Delafield Fire Department. During his tenure he was in charge of the Town of Delafield Fire Department Search and Rescue Dive Team which he founded in 1996. In his capacity as a firefighter for the Town, he was awarded the “Humanitarian of the Year” award by the Wisconsin Federated Humane Societies, Inc. and the “Firefighter of the Year” award. He resides with his wife, Kalaniwai, in Delafield and together they have two children, both of whom are Medical Doctors.

Attorney Olivia Kelley
Brookfield, WI

Olivia Kelley is an attorney in the Milwaukee office of DeWitt LLP, although she frequently practices from DeWitt’s Madison and Minneapolis offices as well. Olivia graduated from Marquette University (B.A. Philosophy and Political Science) in 2000 and graduated cum laude from Marquette University Law School in 2009. She is the Co-Chair of DeWitt’s Litigation Practice Group and practices primarily in the area of civil litigation, with an emphasis on assisting clients in the preservation, production and acquisition of electronic information. Olivia is active in her community, serving as a past president and current member of the Rotary Club of New Berlin. Olivia and her college sweetheart, Jason, have been married for 17 years, and are raising their two daughters to be future lawyers.

Attorney Jennifer Lindsley
Madison, WI

Jennifer Lindsley is the Director of Training and Staff Attorney for the Wisconsin REALTORS® Association. Jennifer graduated from Portland State University with her B.A in History and
earned her J.D. from the University of Wisconsin Law School. She both develops and teaches licensing curriculum for real estate licensees. Jennifer is one of the WRA’s Legal Hotline Attorneys where she answers general practice real estate, licensing law, and ethics questions for members of the WRA. Jennifer also serves on the Citizen’s Advisory Committee for Madison School & Community Recreation (MSCR).

**Dr. Consuelo López Springfield**  
**Madison, WI**

Consuelo, who has a Ph.D. in Communications (Indiana University), specialized in Women & Gender Studies, Caribbean, U.S. Latin and Critical Race Studies before retiring in 2015 as an L&S Assistant Dean and Senior Lecturer at the University of Wisconsin-Madison. Currently, as an Affiliate with Chican Latin Studies, she directs students in independent research. Previously, at IU Law, she interned with the state AG in Criminal Appeals. At UW-Madison, she was honored with a Norman Bassett Award for Outstanding Achievement in Student Services. In addition, Consuelo was a CIC Academic Leadership Program Fellow; and for 9 years, served as a panelist for the National Research Council and National Academies, reviewing Ford Foundation Fellowships. She served on OLR’s District 9 from 2006-2015 and on the Working Group on “Alternatives to Incarceration” of the Public Protection and Judiciary Committee of Dane County’s Board of Supervisors in 2015. She serves on the Overture Center’s Community Advisory Board, the city of Madison’s Committee on Human Services, The Executive Board of Tempo of Madison and the Board of Directors of Attic Angels Association. She also volunteers at the St. Vincent DePaul’s food pantry and Olbrich Gardens.

**Ms. Christine Procknow**  
**Portage, WI**

Attorney Dustin T. Woehl
Milwaukee

Dustin Woehl is a shareholder at Kasdorf, Lewis & Swietlik, S.C. in Milwaukee. He grew up in south Florida, graduated from Carleton College in 1997 with a B.A. in Philosophy, and graduated in 2000 from the University of Pennsylvania School of Law. He practices in state and federal court, primarily in the areas of civil appeals and constitutional law. Dustin also volunteers with Milwaukee’s Volunteer Lawyers Project and as a judge in Wisconsin’s mock trial program.

Attorney John Zwolanek
Deforest, WI

John Zwolanek taught school music in Roswell, New Mexico and St. Paul, Minnesota before graduating cum laude from William Mitchell College of Law in 1995. He practices elder law in southwest Wisconsin and plays a mean accordion.
Attorney Joseph M. Russell, Chairperson
Milwaukee, WI
Joe Russell is a shareholder in the Litigation and Risk Management Practice Group of von Briesen & Roper. A native of Milwaukee, he graduated from Georgetown University (B.A.) in 1993 and received his J.D. from the Northwestern University School of Law in 2003. In addition to his civil and constitutional litigation practice, Joe represents corporations, management, governmental entities, and individuals in connection with government investigations (both federal and state), enforcement actions and internal investigations. Joe also acts as his firm’s ethics counsel and has served on the State Bar’s Ethics Committee since 2013.

Attorney Timothy F. Nixon, Vice Chairperson
Green Bay WI
Timothy F. Nixon, a shareholder with the law firm of Godfrey & Kahn, S.C., is a commercial lawyer representing clients in state and federal courts. He is the firm’s lead attorney in business finance and restructuring. His name appears on numerous “best lawyers” lists. He is a frequent lecturer, presenter, and writer on matters involving Bankruptcy Law. Tim earned his master's degree in public policy and administration and his law degree from the University of Wisconsin Madison. Prior to graduate school, Tim served as a ship’s officer in the Merchant Marine for nine years. In addition, he has taught for nineteen years as an associate faculty member at his alma mater, the University of Wisconsin Green Bay. Tim has received a number of awards in recognition of his community service including the “Leaders in the Law” award from the Wisconsin Law Journal, “The Judge John W. Reynolds Community Building Award” from the Eastern District of Wisconsin Bar Association, and the “Distinguished Alumni Award” from the UW-Green Bay Alumni Association. He is a fellow of the American College of Bankruptcy. Previously he served as a member of the Preliminary Review Committee (2009-2015), and as its Vice-Chair (2014-2015).

Dr. Daniel C. Bruch
Hudson, WI
Daniel C. Bruch is a retired pastor, professor, editor, and consultant. He has earned six degrees (including a D.Min., Ph.D. {UW-Madison}, & Sc.D.) and has served as a college, university, and seminary professor, both in the USA and internationally. He has been a police, emergency room, and university chaplain and is a certified and experienced mediator and arbitrator. He has lived and taught in Hungary, Indonesia, and Chile, and has lectured in Romania, Serbia, the Czech Republic, and Slovakia. His last academic position was with the Yale University-based Civic Education Project and his last pastoral position was at a two-campus parish of 2200 members in Hudson, Wisconsin. He is the founder and an owner of The Purple Tree (www.thepurpletree.org) in Hudson.
Linda Uliss Burke
Madison, WI
Linda Burke is a retired Deputy City Attorney for the City of Milwaukee. She is a 1977 graduate of St. John’s University School of Law, and she clerked for the New York State Court of Appeals after graduation. She had been with the City Attorney’s Office for 36 years, handling litigation, procurement and contracting, public records, first-amendment issues, and other matters. She is a past President of the Government Lawyers Division of the State Bar and of the Attorneys’ Institute of the League of Wisconsin Municipalities.

Attorney Donald J. Christl
Milwaukee, WI
Donald J. Christl is of counsel to Reinhart Boerner Van Deuren SC in Milwaukee. He received his B.A from St. Mary's University in Winona Minnesota and his J.D. from University of Chicago Law School. He has served in numerous professional leadership positions in his firm and throughout the community and state, including the Board of Directors of his firm; President of the Milwaukee County Bar Association, Milwaukee Bar Association Foundation, Business Planning Institute and Wisconsin Employee Benefits Association; Chairman of the State Bar Committee on Resolution of Fee Disputes and Supreme Court Committee on Professional Fees; and member of these and many other professional organizations. He has lectured nationally and internationally, edited the Journal of Pension Benefits, and co-authored Professional Corporations and the Alternatives. His other public service includes positions as president or other officer in local community organizations including the Boy Scouts and other charitable organizations.

Mr. Lawrence J. Quam
Superior, WI
Larry is a lifetime resident of Superior, WI. He has a bachelor’s degree in political science from the University of Wisconsin – Superior, with minors in Psychology, Sociology and History. He retired from Douglas County Sheriff’s Department as Administrative Sergeant after serving for 36 years.

He has served on the Wisconsin Supreme Court’s Special Preliminary Review Committee for six years. In the past 17 years, Larry has served in many capacities for the Douglas County Board including, Chairperson for the Health & Human Services, an Executive and Administrative member and 2nd Vice Chairman of the County Board of Supervisors.

Larry is a long time volunteer and community member for many local organizations such as the Shriner’s, and the Cub Scouts. He has raised money for the American Cancer Society, March of Dimes and the American Heart Association. He is active in the United Commercial Traveler’s of America (UCT), presently serving at the Officer level locally, nationally and internationally, and has held the position of President of the International Secretary/Treasurer’s Association and Imperial Ruler of Bagman for UCT.

He is an active member of Concordia Lutheran Church serving his community through active involvement on Church committees such as the Benevolent Committee and the Cares Committee.
Larry has been married to his loving wife Yvonne for 60 years. He has 5 children, 14 grandchildren and 4 great grandchildren. He enjoys spending time with family, hunting, fishing and attending sporting events.

**Attorney Gary J. Van Domelen**  
**LaCrescent, MN**

Gary is a De Pere native and graduate of St. Norbert College and Marquette University Law School. While at Marquette, he served as Managing Editor of the Law Review. Gary began his legal career as a litigator for Kasdorf, Lewis & Swietlik, S.C. A Milwaukee based insurance and product liability and insurance defense firm. In 1986, he joined S.C. Johnson & Son, Inc. to manage the company’s worldwide product liability litigation. During the ten years he spent at Johnson Gary also drafted and negotiated a variety of agreements, assisted with regulatory matters and investigations and was the lead lawyer for the worldwide air care business. In 1998, he accepted the position of Vice President & General Counsel of Fisher Scientific Company, L.L.C. in Pittsburgh, PA. While at Fisher, Gary also worked in a number of acquisitions and co-managed the quality assurance function. In 2001, Gary and his family moved back to LaCrosse, Wisconsin where he accepted a position as Chief Legal Counsel, the Trane Company, and a division of American Standard Companies, Inc. In 2003, Gary accepted an invitation from American Standard to move to New Jersey and serve as Vice President, Chief Corporate Counsel and assistant secretary. In that capacity, Gary managed the legal-SEC reporting issues, M&A and the law department budget. In 2005, Gary joined Wagner, Falconer & Judd, a Minneapolis firm. Gary was named a partner in 2010 and led the firm’s marketing activities and business practice group. In May 2014, he opened his own corporate and business practice. Gary is an Adjunct Faculty member in the MBA program at the Dahl School of Business, Viterbo University. He lives in LaCrescent, MN with his wife Karmin.

**Attorney Michael S. Weiden**  
**Madison, WI**

Michael Weiden is a retired attorney. He practiced health and administrative law as a partner with the law firm of Quarles & Brady in Madison, Wisconsin. He has also served as Interim General Counsel to the University of Wisconsin and has provided consulting services to various health related organizations.
SPECIAL INVESTIGATIVE PANEL

Attorney Terence J. Bouressa      De Pere
Attorney Nathaniel Cade Jr.      Milwaukee
Attorney Steven M. Cain          Cedarburg
Attorney Matthew Cromheecke      Onalaska
Attorney Sarah Troupis-Ferguson  Madison
Attorney Mark J. Goldstein       Milwaukee
Attorney William A. Grunewald    Medford
Attorney Anthony P. Hahn         Racine
Attorney Alexander Hall          Green Bay
Attorney Victor C. Harding       Milwaukee
Attorney Thomas W. Harnisch      Neilsville
Attorney Jay E. Heit             Eau Claire
Attorney Nicole L. Kreklau       Minneapolis, MN
Attorney Myron E. LaRowe         Reedsburg
Attorney Michael Laufenberg      West Bend
Attorney Neil C. McGinn          Germantown
Attorney Maxfield E. Neuhaus     River Falls
Attorney William Retert          Fond du Lac
Attorney Lauri Roman             Madison
Attorney Ronald S. Stadler       Milwaukee
Attorney Richard J. Summerfield  Ladysmith
Attorney Terri L. Weber          Chilton
Attorney Timothy R. Young        Oshkosh
Attorney Michael D. Zell         Stevens Point
SPECIAL PRELIMINARY REVIEW PANEL
*Public Members

(Chairperson) Bruce F. Ehlke                     Madison
Stuart S. Mukamal                                 Milwaukee
*Sherie Sasso                                    Madison
Bruce A. Schultz                                 Madison
*Jane Snilsberg                                  Iron River
Dennis M. Sullivan                                Madison

SUPREME COURT REFEREES

Allan Beatty                                    La Crosse
James C. Boll                                    Madison
William Eich                                     Madison
James R. Erickson                                Balsam Lake
Richard M. Esenberg                              Milwaukee
Dennis J. Flynn                                   Racine
Robert E. Kinney                                 Rhinelander
James W. Mohr                                     Hartford
John B. Murphy                                    Waunakee
Kim M. Peterson                                  Oconomowoc
John N. Schweitzer                                Madison
James J. Winiarski                                Milwaukee
# APPENDIX 3
## NEW MATTERS & DISPOSITIONS FOR FISCAL YEARS 2009-2019

<table>
<thead>
<tr>
<th></th>
<th>NUMBER OF ATTORNEYS</th>
<th>NUMBER OF MATTERS PENDING AT BEGINNING OF FY</th>
<th>*ADJUSTMENTS</th>
<th>New Matters</th>
<th>Dispositions</th>
<th>Pending at Close of Fiscal Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 2009</td>
<td>23,425</td>
<td>651</td>
<td>(106)</td>
<td>2,228</td>
<td>2,239</td>
<td>746</td>
</tr>
<tr>
<td>FY 2010</td>
<td>23,827</td>
<td>746</td>
<td>(152)</td>
<td>2,307</td>
<td>2,224</td>
<td>981</td>
</tr>
<tr>
<td>FY 2011</td>
<td>24,158</td>
<td>981</td>
<td>(156)</td>
<td>2,377</td>
<td>2,448</td>
<td>1,066</td>
</tr>
<tr>
<td>FY 2012</td>
<td>24,532</td>
<td>1,066</td>
<td>(186)</td>
<td>2,677</td>
<td>2,759</td>
<td>1,170</td>
</tr>
<tr>
<td>FY 2013</td>
<td>24,896</td>
<td>1,170</td>
<td>(198)</td>
<td>2,324</td>
<td>2,606</td>
<td>1,086</td>
</tr>
<tr>
<td>FY 2014</td>
<td>25,003</td>
<td>1,086</td>
<td>(185)</td>
<td>2,521</td>
<td>2,707</td>
<td>1,085</td>
</tr>
<tr>
<td>FY 2015</td>
<td>25,149</td>
<td>1,085</td>
<td>(156)</td>
<td>2,300</td>
<td>2,797</td>
<td>744</td>
</tr>
<tr>
<td>FY 2016</td>
<td>25,169</td>
<td>744</td>
<td>(166)</td>
<td>1,979</td>
<td>2,296</td>
<td>593</td>
</tr>
<tr>
<td>FY 2017</td>
<td>25,221</td>
<td>593</td>
<td>(159)</td>
<td>1,866</td>
<td>2,069</td>
<td>549</td>
</tr>
<tr>
<td>FY 2018</td>
<td>25,283</td>
<td>549</td>
<td>(119)</td>
<td>1,760</td>
<td>1,877</td>
<td>551</td>
</tr>
<tr>
<td>FY 2019</td>
<td>25,310</td>
<td>551</td>
<td>(69)</td>
<td>1,660</td>
<td>1,815</td>
<td>465</td>
</tr>
</tbody>
</table>

*Adjusted include appealed matters that are reopened and other administrative changes made during the fiscal year.
APPENDIX 4

PENDING CASELOAD

Fiscal Years 2009 – 2019
APPENDIX 5
EFFICIENCY AND PRODUCTIVITY FOR FISCAL YEAR 2009-2019

<table>
<thead>
<tr>
<th>FY</th>
<th>*AVERAGE TIME FOR DISPOSITION</th>
<th>MATTERS OVER ONE YEAR OLD</th>
<th>PERCENTAGE OF MATTERS OVER ONE YEAR OLD</th>
<th>PERCENTAGE OF MATTERS CLOSED WITHIN 90 DAYS</th>
<th>PERCENTAGE OF MATTERS CLOSED WITHIN 180 DAYS</th>
</tr>
</thead>
<tbody>
<tr>
<td>2009</td>
<td>118 DAYS</td>
<td>224</td>
<td>27%</td>
<td>78%</td>
<td>88%</td>
</tr>
<tr>
<td>2010</td>
<td>115 DAYS</td>
<td>313</td>
<td>33%</td>
<td>77%</td>
<td>88%</td>
</tr>
<tr>
<td>2011</td>
<td>136 DAYS</td>
<td>393</td>
<td>36%</td>
<td>69%</td>
<td>85%</td>
</tr>
<tr>
<td>2012</td>
<td>142 DAYS</td>
<td>414</td>
<td>34%</td>
<td>70%</td>
<td>85%</td>
</tr>
<tr>
<td>2013</td>
<td>157 DAYS</td>
<td>445</td>
<td>41%</td>
<td>67%</td>
<td>84%</td>
</tr>
<tr>
<td>2014</td>
<td>161 DAYS</td>
<td>365</td>
<td>34%</td>
<td>67%</td>
<td>84%</td>
</tr>
<tr>
<td>2015</td>
<td>182 DAYS</td>
<td>226</td>
<td>30%</td>
<td>67%</td>
<td>82%</td>
</tr>
<tr>
<td>2016</td>
<td>139 DAYS</td>
<td>199</td>
<td>33%</td>
<td>76%</td>
<td>85%</td>
</tr>
<tr>
<td>2017</td>
<td>122 DAYS</td>
<td>166</td>
<td>29%</td>
<td>78%</td>
<td>86%</td>
</tr>
<tr>
<td>2018</td>
<td>129 DAYS</td>
<td>152</td>
<td>27%</td>
<td>77%</td>
<td>86%</td>
</tr>
<tr>
<td>2019</td>
<td>121 DAYS</td>
<td>138</td>
<td>30%</td>
<td>80%</td>
<td>88%</td>
</tr>
</tbody>
</table>

*Average time for disposition is calculated by averaging length of time it took to process a case and calculates the time for each case when matters are completed.*
APPENDIX 6

AVERAGE MATTER PROCESSING TIME

Fiscal Years 2009 – 2019
APPENDIX 7

GRIEVANCES PENDING MORE THAN ONE YEAR

Fiscal Years 2009 – 2019
## APPENDIX 8A

**SURVEY OF GRIEVANCES RECEIVED DURING**

**FISCAL YEAR 2018-2019**

Categorized by Allegation

<table>
<thead>
<tr>
<th>ALLEGATIONS</th>
<th>PERCENTAGE OF TOTAL ALLEGATIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Conflict of Interest</td>
<td>5.37%</td>
</tr>
<tr>
<td>Criminal Conduct</td>
<td>0.93%</td>
</tr>
<tr>
<td>Failure to Return Property</td>
<td>1.91%</td>
</tr>
<tr>
<td>False Statement/Tribunal</td>
<td>2.84%</td>
</tr>
<tr>
<td>Frivolous Action</td>
<td>2.1%</td>
</tr>
<tr>
<td>IFOR</td>
<td>1.91%</td>
</tr>
<tr>
<td>Improper Advertising</td>
<td>0.25%</td>
</tr>
<tr>
<td>Improper Advocacy</td>
<td>9.44%</td>
</tr>
<tr>
<td>Improper Communications</td>
<td>1.3%</td>
</tr>
<tr>
<td>Improper Termination</td>
<td>1.85%</td>
</tr>
<tr>
<td>Incompetence</td>
<td>3.7%</td>
</tr>
<tr>
<td>Lack of Communication</td>
<td>13.15%</td>
</tr>
<tr>
<td>Lack of Diligence</td>
<td>20.86%</td>
</tr>
<tr>
<td>Medical Incapacity</td>
<td>0.12%</td>
</tr>
<tr>
<td>Misrepresentation/Dishonesty</td>
<td>9.81%</td>
</tr>
<tr>
<td>Neglect</td>
<td>0%</td>
</tr>
<tr>
<td>Reciprocal Discipline</td>
<td>0.37%</td>
</tr>
<tr>
<td>Reinstatement</td>
<td>0.31%</td>
</tr>
<tr>
<td>Revealing Confidences</td>
<td>1.05%</td>
</tr>
<tr>
<td>Scope of Representation</td>
<td>5.06%</td>
</tr>
<tr>
<td>Statute, Rule, Order, Decision</td>
<td>1.73%</td>
</tr>
<tr>
<td>Supervise Subordinates</td>
<td>1.3%</td>
</tr>
<tr>
<td>Trust Account Violations</td>
<td>2.28%</td>
</tr>
<tr>
<td>Unauthorized Practice</td>
<td>2.22%</td>
</tr>
<tr>
<td>Unearned Fee</td>
<td>0.56%</td>
</tr>
<tr>
<td>Unreasonable Fees</td>
<td>4.14%</td>
</tr>
<tr>
<td>Violation of Oath</td>
<td>2.22%</td>
</tr>
<tr>
<td>No Allegation Listed, Not Available, Other</td>
<td>3.22%</td>
</tr>
</tbody>
</table>
APPENDIX 8B

SURVEY OF GRIEVANCES RECEIVED DURING

FISCAL YEAR 2018-2019

Categorized by Area of Practice

<table>
<thead>
<tr>
<th>AREA OF PRACTICE</th>
<th>PERCENTAGE OF TOTAL ALLEGATIONS</th>
</tr>
</thead>
<tbody>
<tr>
<td>Administrative &amp; Government Law</td>
<td>1.36%</td>
</tr>
<tr>
<td>Bankruptcy-Receiverhip</td>
<td>1.23%</td>
</tr>
<tr>
<td>Collections, Garnishments</td>
<td>1.54%</td>
</tr>
<tr>
<td>Contracts, Commercial, Consumer Law</td>
<td>1.73%</td>
</tr>
<tr>
<td>Corporate-Banking</td>
<td>0.99%</td>
</tr>
<tr>
<td>Criminal Law</td>
<td>46.67%</td>
</tr>
<tr>
<td>Environmental</td>
<td>0.06%</td>
</tr>
<tr>
<td>Estate-Probate, Guardianship &amp; Wills</td>
<td>5.55%</td>
</tr>
<tr>
<td>Family Law &amp; Juvenile</td>
<td>16.52%</td>
</tr>
<tr>
<td>Immigration &amp; Naturalization</td>
<td>0.86%</td>
</tr>
<tr>
<td>Insurance</td>
<td>0.25%</td>
</tr>
<tr>
<td>Labor, Unemployment Compensation</td>
<td>1.05%</td>
</tr>
<tr>
<td>Landlord-Tenant</td>
<td>1.11%</td>
</tr>
<tr>
<td>Litigation</td>
<td>3.27%</td>
</tr>
<tr>
<td>Patent/Trademark</td>
<td>0.25%</td>
</tr>
<tr>
<td>Real Property Law</td>
<td>4.32%</td>
</tr>
<tr>
<td>Taxation</td>
<td>0.06%</td>
</tr>
<tr>
<td>Torts-Civil Rights</td>
<td>4.81%</td>
</tr>
<tr>
<td>Workers Compensation, Social Security</td>
<td>1.48%</td>
</tr>
<tr>
<td>Not Available-Other</td>
<td>6.89%</td>
</tr>
</tbody>
</table>
APPENDIX 8C

SURVEY OF GRIEVANCES RECEIVED DURING

FISCAL YEAR 2018 - 2019

Categorized by Source of Grievance

<table>
<thead>
<tr>
<th>SOURCE OF GRIEVANCE</th>
<th>PERCENTAGE OF TOTAL SOURCE OF GRIEVANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Adverse Party</td>
<td>20.41%</td>
</tr>
<tr>
<td>Attorney</td>
<td>2.34%</td>
</tr>
<tr>
<td>Client</td>
<td>54.81%</td>
</tr>
<tr>
<td>Guardian ad Litem</td>
<td>4.19%</td>
</tr>
<tr>
<td>Judge</td>
<td>0.25%</td>
</tr>
<tr>
<td>OLR Staff</td>
<td>4.87%</td>
</tr>
<tr>
<td>Other Party</td>
<td>12.95%</td>
</tr>
<tr>
<td>No Source Listed</td>
<td>0.18%</td>
</tr>
</tbody>
</table>
**APPENDIX 9**

**PUBLICLY DISCIPLINED LAWYERS AS A PERCENTAGE OF TOTAL LAWYER POPULATION**

<table>
<thead>
<tr>
<th>YEAR</th>
<th>NUMBER OF LAWYERS PUBLICLY DISCIPLINED</th>
<th>STATE BAR MEMBERSHIP</th>
<th>PERCENTAGE OF LAWYERS PUBLICLY DISCIPLINED</th>
</tr>
</thead>
<tbody>
<tr>
<td>2018-19</td>
<td>34</td>
<td>25,310</td>
<td>0.1</td>
</tr>
<tr>
<td>2017-18</td>
<td>40</td>
<td>25,283</td>
<td>0.2</td>
</tr>
<tr>
<td>2016-17</td>
<td>37</td>
<td>25,221</td>
<td>0.1</td>
</tr>
<tr>
<td>2015-16</td>
<td>42</td>
<td>25,169</td>
<td>0.2</td>
</tr>
<tr>
<td>2014-15</td>
<td>58</td>
<td>25,149</td>
<td>0.2</td>
</tr>
<tr>
<td>2013-14</td>
<td>40</td>
<td>25,003</td>
<td>0.1</td>
</tr>
<tr>
<td>2012-13</td>
<td>58</td>
<td>24,896</td>
<td>0.2</td>
</tr>
<tr>
<td>2011-12</td>
<td>46</td>
<td>24,532</td>
<td>0.2</td>
</tr>
<tr>
<td>2010-11</td>
<td>34</td>
<td>24,158</td>
<td>0.1</td>
</tr>
<tr>
<td>2009-10</td>
<td>46</td>
<td>23,827</td>
<td>0.2</td>
</tr>
<tr>
<td>2008-09</td>
<td>40</td>
<td>23,425</td>
<td>0.2</td>
</tr>
<tr>
<td>2007-08</td>
<td>38</td>
<td>23,013</td>
<td>0.2</td>
</tr>
<tr>
<td>2006-07</td>
<td>58</td>
<td>22,637</td>
<td>0.3</td>
</tr>
<tr>
<td>2005-06</td>
<td>62</td>
<td>22,225</td>
<td>0.3</td>
</tr>
<tr>
<td>2004-05</td>
<td>44</td>
<td>21,837</td>
<td>0.2</td>
</tr>
<tr>
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APPENDIX 10

VIOLATIONS FOR WHICH MISCONDUCT WAS FOUND IN PUBLIC DISCIPLINE DECISIONS

FISCAL YEAR 2018 – 2019

OTHER

Conflict of Interest  
Failure to Return Property  
Frivolous Actions  
Improper Advocacy  
Improper Termination  
Lack of Communication  
Medical Incapacity  
Revealing Confidences  

Criminal Conduct  
False Statement/Tribunal  
Improper Advertising  
Improper Communication  
Incompetence  
Lack of Diligence  
Reciprocal Discipline  
Unreasonable Fees
APPENDIX 11

AREAS OF PRACTICE IN WHICH MISCONDUCT WAS FOUND IN PUBLIC DISCIPLINE DECISIONS

FISCAL YEAR 2018 – 2019

- Criminal Law: 33%
- Torts: PI: 10%
- Estate/Probate: 8%
- Litigation: 6%
- Family Law: 8%
- Other: 35%

OTHER

- Administrative/Government
- Corporate Banking
- Workers Compensation
- Bankruptcy
- Real Property
APPENDIX 12  
OFFICE OF LAWYER REGULATION  
JULY 1, 2018 – JUNE 30, 2019  

OUTREACH EFFORTS

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